### Consistency of Milnor Fibers for Deformations of Arbitrary-Dimensional Hypersurface Singularities

Alex Hof

May 2, 2022

Alex Hof

2

# Setup and Introduction

Alex Hof

### The Milnor Fibration

For a nonzero holomorphic function germ  $f : (\mathbb{C}^{n+1}, 0) \to (\mathbb{C}, 0)$ , we have the **Milnor fibration** 

$$f:B_arepsilon\cap f^{-1}(D_\delta\setminus\{0\}) o D_\delta\setminus\{0\}$$

for small enough  $\varepsilon \gg \delta > 0$ .

▲□▶ ▲□▶ ▲目▶ ▲目▶ 三日 - のへで

Alex Hof

イロト イボト イヨト イヨト

3

### The Milnor Fibration

For a nonzero holomorphic function germ  $f : (\mathbb{C}^{n+1}, 0) \to (\mathbb{C}, 0)$ , we have the **Milnor fibration** 

$$f:B_arepsilon\cap f^{-1}(D_\delta\setminus\{0\}) o D_\delta\setminus\{0\}$$

for small enough  $\varepsilon \gg \delta > 0$ .

This is a locally trivial fibration whose fiber is a smooth manifold — the fiber's reduced homology is related somehow to the singularities of the hypersurface germ  $(f^{-1}(0), 0)$ .

Alex Hof

イロト イボト イヨト イヨト

3

### The Question of Deformations

Suppose now that we have a holomorphic germ of a deformation of f given by

$$F: (\mathbb{C}^{n+1} \times \mathbb{C}^{u}, 0 \times 0) \to (\mathbb{C}, 0),$$

where we think of  $(\mathbb{C}^{u}, 0)$  as our (smooth) space of parameters.

Alex Hof

イロト イボト イヨト イヨト

### The Question of Deformations

Suppose now that we have a holomorphic germ of a deformation of f given by

$$F: (\mathbb{C}^{n+1} \times \mathbb{C}^{u}, 0 \times 0) \to (\mathbb{C}, 0),$$

where we think of  $(\mathbb{C}^u, 0)$  as our (smooth) space of parameters.

#### Question

Letting  $\pi : \mathbb{C}^{n+1} \times \mathbb{C}^u \to \mathbb{C}^u$  be the projection and  $\Delta$  the discriminant of  $F \times \pi$ , does

$$F imes \pi : (B_{arepsilon} imes B_{\gamma}) \cap F^{-1}(D_{\delta}) o D_{\delta} imes B_{\gamma}$$

define a smooth locally trivial fibration over the complement of  $\Delta$  for small enough  $\varepsilon \gg \delta, \gamma > 0$ ?

Alex Hof

(a)

2

### **Elementary Facts**

 If f<sup>-1</sup>(0) has an isolated singularity at the origin, then the answer is always yes.

Alex Hof

イロト イボト イヨト イヨト

### **Elementary Facts**

- If f<sup>-1</sup>(0) has an isolated singularity at the origin, then the answer is always yes.
- This lets us completely understand the homology of the Milnor fiber in the isolated case by perturbing our function slightly to break the critical locus up into Morse points. (More on this in a second!)

Alex Hof

・ロト ・四ト ・ヨト ・ヨト

3

### **Elementary Facts**

- If f<sup>-1</sup>(0) has an isolated singularity at the origin, then the answer is always yes.
- This lets us completely understand the homology of the Milnor fiber in the isolated case by perturbing our function slightly to break the critical locus up into Morse points. (More on this in a second!)
- In general, the answer is **no**; consider F((x, y, z), t) = xy tz.

Setup and Introduction	Motivation and Algebraic Background	Main Theorem and Consequences	Conclusion 0000
Prior Work			

• There has been a lot of work over the past several decades by Siersma and his school dealing with low-dimensional special cases, particularly the 1D case.

くぼ ト く ヨ ト く ヨ ト

3

Setup and	Introduction
000000	

(日) (四) (三) (三)

### Prior Work

Alex Hof

- There has been a lot of work over the past several decades by Siersma and his school dealing with low-dimensional special cases, particularly the 1D case.
- Based on this, Bobadilla has a theory of "morsification relative to an ideal" which gives circumstances in which we can hold the positive-dimensional parts of the critical locus fixed and move around the zero-dimensional stuff to split off some Morse points.

### Prior Work

- There has been a lot of work over the past several decades by Siersma and his school dealing with low-dimensional special cases, particularly the 1D case.
- Based on this, Bobadilla has a theory of "morsification relative to an ideal" which gives circumstances in which we can hold the positive-dimensional parts of the critical locus fixed and move around the zero-dimensional stuff to split off some Morse points.
- Massey has invariants called Lê numbers whose constancy at the origin in a family implies the consistency of the Milnor fiber; however, this requirement is too stringent for any kind of splitting to occur.

イロト イポト イヨト イヨト

### Results Using Scheme Structure

We can give a much more comprehensive condition under which the Milnor fiber varies consistently using algebra:

#### Theorem

The answer to our question is **yes** as long as the scheme-theoretic critical locus of  $F \times \pi$  is flat over the parameter space  $\mathbb{C}^u$  at the origin — that is, so long as the natural map of convergent power series rings

$$\mathbb{C}\{t_1,\ldots,t_u\}\to \frac{\mathbb{C}\{x_0,\ldots,x_n,t_1,\ldots,t_u\}}{\left(\frac{\partial F}{\partial x_0},\ldots,\frac{\partial F}{\partial x_n}\right)}$$

is flat.

Alex Hof

3

# Motivation and Algebraic Background

Alex Hof

Setup and Introduction	Motivation and Algebraic Background	Main Theorem and Consequences
	000000	

### The Milnor Number

In the case where f defines an isolated singularity, the homology of the Milnor fiber is determined completely by the non-reduced structure of the critical locus — in particular, by the **Milnor number** 

$$\mu_f := \dim_{\mathbb{C}} \frac{\mathbb{C}\{x_0, \dots, x_n\}}{\left(\frac{\partial f}{\partial x_0}, \dots, \frac{\partial f}{\partial x_n}\right)}.$$

Alex Hof

・ロト ・回ト ・ヨト ・ヨト

э.

Conclusion 0000

### The Milnor Number

In the case where f defines an isolated singularity, the homology of the Milnor fiber is determined completely by the non-reduced structure of the critical locus — in particular, by the **Milnor number** 

$$\mu_f := \dim_{\mathbb{C}} \frac{\mathbb{C}\{x_0, \dots, x_n\}}{\left(\frac{\partial f}{\partial x_0}, \dots, \frac{\partial f}{\partial x_n}\right)}.$$

If we look at  $f_t = f + tg$  for g a generic linear form, we see that, for small t,  $f_t$  has  $\mu_f$  Morse critical points near the origin, each of which contributes a single  $\mathbb{Z}$ -summand to the *n*th reduced homology of the smooth fiber:

$$ilde{H}_k(F_f;\mathbb{Z}) = egin{cases} \mathbb{Z}^{\oplus \mu_f} & k = n \ 0 & k 
eq n \end{cases}$$

Alex Hof

Motivation and Algebraic Background

Main Theorem and Consequences

イロト イボト イヨト イヨト

Conclusion 0000

### The Milnor Number (cont.)

#### Question

Why, intuitively, should the number of Morse points we get by a small perturbation have anything to do with  $\mu_f$  as defined?

Alex Hof

Motivation and Algebraic Background

Main Theorem and Consequences

### The Milnor Number (cont.)

#### Question

Why, intuitively, should the number of Morse points we get by a small perturbation have anything to do with  $\mu_f$  as defined?

To answer this, we want to be able to say that the critical locus of  $f_t$  remains consistent as we vary t in some way that respects the non-reduced structure. This is precisely the algebro-geometric notion of **flatness**.

Setup and Introduction	Motivation and Algebraic Background	Main Theorem and Consequences	Conclusion 0000
Flatness			

We say that a map φ : X → Y of schemes or complex-analytic spaces is flat at x ∈ X if − ⊗<sub>OY,φ(x)</sub> O<sub>X,x</sub> is an exact functor.

A (1) > A (2) > A

2

Setup and Introduction	Motivation and Algebraic Background	Main Theorem and Consequences	Conclusion 0000

### Flatness

Alex Hof

- We say that a map φ : X → Y of schemes or complex-analytic spaces is flat at x ∈ X if − ⊗<sub>OY,φ(x)</sub> O<sub>X,x</sub> is an exact functor.
- Flatness is ubiquitous in algebraic geometry as the correct notion of what it means to have a "family" or "deformation" of schemes, sheaves, etc. — concretely, this is because flatness is equivalent to the triviality of the normal cone to the fiber.

くぼ ト く ヨ ト く ヨ ト

3

Setup and Introduction	Motivation and Algebraic Background	Main Theorem and Consequences	Conclusion 0000

### Flatness

Alex Hof

- We say that a map φ : X → Y of schemes or complex-analytic spaces is flat at x ∈ X if − ⊗<sub>OY,φ(x)</sub> O<sub>X,x</sub> is an exact functor.
- Flatness is ubiquitous in algebraic geometry as the correct notion of what it means to have a "family" or "deformation" of schemes, sheaves, etc. concretely, this is because flatness is equivalent to the triviality of the normal cone to the fiber.
- If Y is smooth and one-dimensional, flatness is the same as the condition that no component (irreducible or embedded) be mapped to a single point. (A **component** is a closed subset which is an irreducible component of the support of some section of the structure sheaf.)

イロト 不同 トイヨト イヨト

3

Motivation and Algebraic Background

Main Theorem and Consequences

・ロト ・四ト ・ヨト ・ヨト

2

Conclusion 0000

## Flatness (cont.)

#### Non-Example

The map  $\mathbb{C}{x} \rightarrow \mathbb{C}{x, y, z}/(xz, yz, z^2)$  is not flat.



Alex Hof

Motivation and Algebraic Background

Main Theorem and Consequences

イロン イ団 と イヨン イヨン

Ξ.

Conclusion 0000

### Flatness (cont.)

#### Example

The map  $\mathbb{C}{x} \rightarrow \mathbb{C}{x, y, z}/(yz, (z - x^2)z)$  is flat.



Alex Hof

Motivation and Algebraic Background

Main Theorem and Consequences

イロト イロト イヨト イヨト

2

Conclusion 0000

### The Isolated Case

#### Proposition

If F defines an isolated singularity at the origin, then the map

$$\mathbb{C}\{t_1,\ldots,t_u\}\to \frac{\mathbb{C}\{x_0,\ldots,x_n,t_1,\ldots,t_u\}}{\left(\frac{\partial F}{\partial x_0},\ldots,\frac{\partial F}{\partial x_n}\right)}$$

is automatically flat.

Alex Hof

Motivation and Algebraic Background

Main Theorem and Consequences

イロト イボト イヨト イヨト

Conclusion 0000

### The Isolated Case

#### Proposition

If F defines an isolated singularity at the origin, then the map

$$\mathbb{C}\{t_1,\ldots,t_u\}\to \frac{\mathbb{C}\{x_0,\ldots,x_n,t_1,\ldots,t_u\}}{\left(\frac{\partial F}{\partial x_0},\ldots,\frac{\partial F}{\partial x_n}\right)}$$

#### is automatically flat.

This explains why the Milnor number is the same as the number of Morse points we get on perturbation — for isolated singularities both the flatness condition and the consistency of the Milnor fiber hold without additional hypotheses.

2

# Main Theorem and Consequences

Alex Hof

< ロ > < 同 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ >

### Theorem (again)

*Given a holomorphic germ of a deformation of a hypersurface singularity* 

$$F: (\mathbb{C}^{n+1} \times \mathbb{C}^{u}, 0 \times 0) \to (\mathbb{C}, 0)$$

such that the critical locus varies consistently in the sense that

$$\mathbb{C}\{t_1,\ldots,t_u\}\to \frac{\mathbb{C}\{x_0,\ldots,x_n,t_1,\ldots,t_u\}}{\left(\frac{\partial F}{\partial x_0},\ldots,\frac{\partial F}{\partial x_n}\right)}$$

is flat, the Milnor fiber varies consistently in the sense that

$$F imes \pi : (B_{arepsilon} imes B_{\gamma}) \cap F^{-1}(D_{\delta}) o D_{\delta} imes B_{\gamma}$$

defines a smooth locally trivial fibration over the complement of the discriminant for small enough  $\varepsilon \gg \delta, \gamma > 0$ .

Alex Hof

#### Proof idea

Using Thom's first isotopy lemma, we see that the main challenge is to produce evidence that the smooth fibers of F are transverse to the boundary sphere  $S_{\varepsilon}$ .

This can be accomplished by a lifting of vector fields tangent to the fibers — that is, it works because the flatness of the critical locus of F is equivalent to the property that any vector field germ satisfying Wf = 0 can be lifted to a family  $\tilde{W}$  satisfying  $\tilde{W}F = 0$ .

#### Corollary (Scheme Structure Sees Fiber Changes)

Let f be a holomorphic function and C the critical locus of f. If  $C_{red}$  is smooth and C has no embedded components, then the diffeomorphism type of the (transversal) Milnor fiber is locally constant along C.

Alex Hof

3

#### Corollary (Scheme Structure Sees Fiber Changes)

Let f be a holomorphic function and C the critical locus of f. If  $C_{red}$  is smooth and C has no embedded components, then the diffeomorphism type of the (transversal) Milnor fiber is locally constant along C.

#### Proof idea

Construct a deformation by translations of f (or its restriction to a transversal slice) with parameter space  $C_{red}$ .

Alex Hof

イロト イボト イヨト イヨト

### Milnor Fiber Homology Through Deformations

#### Example

Let  $f = x^2y^2 + y^2z^2 + z^2x^2 - x^2y^2z^2$ . We use a sequence of deformations to compute the reduced homology of the Milnor fiber.

First we pull the fuzz away from the origin:



#### Alex Hof

イロト 不得 トイヨト イヨト

э

### Milnor Fiber Homology Through Deformations

#### Example

Let  $f = x^2y^2 + y^2z^2 + z^2x^2 - x^2y^2z^2$ . We use a sequence of deformations to compute the reduced homology of the Milnor fiber.

Now we separate each of the six double points from the axes:



Alex Hof

Main Theorem and Consequences  $0000 \bullet 0$ 

イロン イ団 と イヨン イヨン

3

### Milnor Fiber Homology Through Deformations

#### Example

Let  $f = x^2y^2 + y^2z^2 + z^2x^2 - x^2y^2z^2$ . We use a sequence of deformations to compute the reduced homology of the Milnor fiber.

Finally, we get the fiber at the origin in a form that's easy to compute:

$$F = t \left( x^{2}y^{2} + y^{2}z^{2} + z^{2}x^{2} - x^{2}y^{2}z^{2} \right) + \frac{1}{47}xyz$$

$$t = 1$$

$$t = 0$$

Alex Hof

イロト 不得 トイヨト イヨト

3

### Milnor Fiber Homology Through Deformations (cont.)

So, in summary, for  $f = x^2y^2 + y^2z^2 + z^2x^2 - x^2y^2z^2$ , we can use the main theorem to pull 16 Morse points out of the critical locus, each of which contributes a vanishing cycle in degree 2. What's left over can be deformed into xyz, whose Milnor fiber is well-known to be a torus  $(\mathbb{C}^*)^2$  — hence, for our original f, we can apply results of Dimca to get a direct sum decomposition

$$ilde{H}_k(F_f;\mathbb{Z}) = egin{cases} 0 & k = 0 \ \mathbb{Z}^{\oplus 2} & k = 1 \ \mathbb{Z}^{\oplus 17} & k = 2 \ 0 & k > 2. \end{cases}$$

Alex Hof

< ロ > < 回 > < 回 > < 回 > < 回 >

æ

# Conclusion

Alex Hof

イロト イポト イヨト イヨト

### Remarks on the Converse

#### Question

Is the flatness condition necessary as well as sufficient for the Milnor fiber to vary consistently?



Alex Hof

Setup	

### To-do List

Some things that still need doing:

• Sort out the mess on the previous slide



Alex Hof

### To-do List

Some things that still need doing:

- Sort out the mess on the previous slide
- Find a more reliable method of constructing these deformations for a given *f*

Alex Hof

### To-do List

Some things that still need doing:

- Sort out the mess on the previous slide
- Find a more reliable method of constructing these deformations for a given *f*
- See what we can say about open questions (Lê conjecture, Bobadilla conjecture, etc.) from this perspective

### To-do List

Some things that still need doing:

- Sort out the mess on the previous slide
- Find a more reliable method of constructing these deformations for a given *f*
- See what we can say about open questions (Lê conjecture, Bobadilla conjecture, etc.) from this perspective
- Classify the (equivalence classes of) singularities that can't be split further; calculate their Milnor fibers; arrive at a complete description of the Milnor fiber homology from the structure of the critical locus

### To-do List

Some things that still need doing:

- Sort out the mess on the previous slide
- Find a more reliable method of constructing these deformations for a given *f*
- See what we can say about open questions (Lê conjecture, Bobadilla conjecture, etc.) from this perspective
- Classify the (equivalence classes of) singularities that can't be split further; calculate their Milnor fibers; arrive at a complete description of the Milnor fiber homology from the structure of the critical locus
- Generalize in various directions (CIS, real Milnor fibrations, etc.)

< ロ > < 回 > < 回 > < 回 > < 回 >

æ

# Thank You!

Alex Hof